



PATENTS

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Richard K. Cooper et al.

Serial No. 10/609,019

Filed: 06/26/2003

For: GENE REGULATION IN TRANSGENIC  
ANIMALS USING A TRANSPOSON  
BASED VECTOR

Art Unit: 1632

Examiner: Anoop Kumar Singh

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

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Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

10/17/2006 WASFAW1 00000019 10609019

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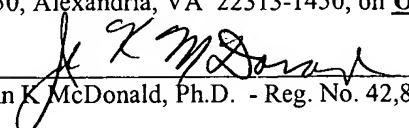
180.00 DP

Sir:

The citation of information on the attached Form PTO/SB/08, "Information Disclosure Statement by Applicant" is made pursuant to 37 C.F.R. §§ 1.97 and 1.98. A copy of Cite No. 3 (Cooper et al.), Cite No. 4 (Cooper et al.) and Cite No. 5 (Richardson et al.) is enclosed. This Supplemental Information Disclosure Statement is being filed after the issuance of an office action on the merits. Pursuant to 37 C.F.R. §1.97(c)(2), enclosed is Form PTO 2038, Credit Card Payment Form, authorizing payment of the fee of \$180, as set forth in §1.17(p).

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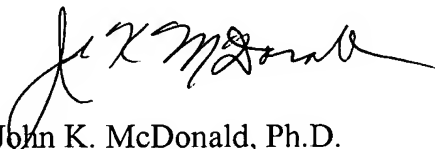
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on **October 13, 2006**.

  
John K. McDonald, Ph.D. - Reg. No. 42,860

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The citation of this information does not constitute an admission that any of the materials are available as a reference or of priority, or a waiver of any right applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John K. McDonald", is written over the printed name.

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Reg. No. 42,860

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Our Docket: 51687-0101 (287015)

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Substitute for Form 1449/A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				Complete if Known		
				Application No.	10/609,019	
				Filing Date	6/26/2003	
				First Named Inventor	Richard K. Cooper	
				Group Art Unit	1632	
				Examiner Name	Anoop Kumar Singh	
Sheet	1	of	3	Attorney Docket Number	51687-0101(51687-287015)	
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	1	2004/0172667	A1	Cooper et al.	09/02/2004	
	2	2004/0235011	A1	Cooper et al.	11/25/2004	

Examiner Signature		Date Considered	
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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				Group Art Unit		1632		
				Examiner Name		Anoop Kumar Singh		
Sheet	2	of	3	Attorney Docket Number				51687-0101(51687-287015)
<b>FOREIGN PATENT DOCUMENTS</b>								
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>2</sup>	Number <sup>3</sup>	Kind Code <sup>4</sup> (if known)				
	3	WO	2004/067743	A1	Cooper et al.	08/12/2004		
	4	WO	2005/062881	A2	Cooper et al.	07/14/2005		

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<b>OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>6</sup>
	5	<b>Author:</b> RICHARDSON, P.D. <b>Title:</b> Gene Repair and Transposon- Mediated Gene Therapy  <b>Publ:</b> <u>Stem Cells</u>  <div style="display: flex; justify-content: space-between;"> <span><b>Vol/Iss:</b> 20</span> <span><b>Pages:</b> 112-115</span> <span><b>Date:</b> 2002</span> </div>			

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